# BEFORE THE KANSAS BOARD OF EXAMINERS IN OPTOMETRY

| In the Matter of  |  |  |  |  |
|---|--|--|--|--|
| ROGER N. JOYCE, O.D. <u>Kansas License No. 1281-3</u> File No. 1999-001                       |  |  |  |  |
| STIPULATION AND FINAL AGENCY ORDER  |  |  |  |  |
| IT IS HEREBY STIPULATED AND AGREED by and between the Kansas Board of                         |  |  |  |  |
| Examiners in Optometry (the "Board") and Roger N. Joyce, O.D. (the "Respondent") as           |  |  |  |  |
| follows:  |  |  |  |  |
| 1. The Board is represented herein by its attorney, Randall J. Forbes of Frieden,             |  |  |  |  |
| Haynes & Forbes, 555 South Kansas Avenue, Suite 303, Topeka, Kansas 66603. The                |  |  |  |  |
| Respondent is represented herein by his attorney,   |  |  |  |  |
|   |  |  |  |  |
| 2. The Board is the Kansas agency vested with the authority, pursuant to K.S.A.               |  |  |  |  |
| 74-1501 and K.S.A. 74-1504, to carry out and enforce the provisions of the Kansas Optometry   |  |  |  |  |
| Act, K.S.A. 65-1501 et seq., including conducting hearings and proceedings to revoke, suspend |  |  |  |  |
| or otherwise discipline a Kansas license to practice optometry.                               |  |  |  |  |
| 3. The Respondent is presently entitled to engage in the practice of optometry in             |  |  |  |  |
| the State of Kansas by reason of the Board having issued him Kansas license number 1281-3.    |  |  |  |  |

At all times relevant hereto, the Respondent has held a current license to engage in the practice

of optometry in the State of Kansas.

- 4. The Board has received certain information, has investigated and has determined that there are reasonable grounds to believe that the Respondent has committed an act or acts in violation of the Kansas Optometry Act, K.S.A. 65-1501 *et seq*.
- 5. Respondent hereby admits and waives any further proof in this or any other proceeding before or initiated by the Board that the following facts are true:
- A. On two occasions while examining a minor patient to determine if a prescription for corrective lenses should be provided, Respondent failed to do coordination testing as required by K.A.R. 65-8-1 (4).
- B. On two occasions, Respondent altered a patient's records to indicate the performance of coordination testing that was not performed.

The Board finds and concludes that Respondent's conduct, as described above, is in violation of the Kansas Optometry Act and is grounds for disciplinary action in the State of Kansas pursuant to K.S.A. 65-1517(b) and K.S.A. 65-1517(e) and (l).

- 6. The Board finds and the Respondent agrees that the following disposition is just and appropriate under the circumstances:
- A. REFUND. Respondent shall cause all charges made for services rendered by him to Leila M. Densmore on April 22, 1997 and April 10, 1998 to be refunded within 10 days of the date of the entry of the Final Agency Order contemplated hereby.
- B. SUSPENSION. Respondent hereby agrees and consents to the Board's entry of an order whereby his license to practice optometry in the State of Kansas is suspended for a period of sixty (60) days. The suspension shall not take effect if during a 12 month probation period beginning upon the entry of the final agency order contemplated hereby, the Respondent does not commit any further act which would constitute a further violation of the

Kansas Optometry Act or the Board's regulations. If respondent commits and act during the probation period which constitutes a violation of the Kansas Optometry Act or the Board's regulations or fails to meet any condition set out in this stipulation, the period of suspension shall begin and shall be in addition to any other discipline the Board may deem appropriate for the subsequent violation.

- C. If respondent does not commit an act during the probation period which constitutes a violation of the Kansas Optometry Act or the Board's regulations and meets all the conditions set out in this stipulation, the suspension provided for herein shall never become effective.
- D. OTHER REQUIREMENTS. Respondent acknowledges and agrees that as a condition of this Stipulation and Final Agency Order he must:
  - 1. Comply fully with this Stipulation and Final Agency Order;
- Comply fully with the Kansas Optometry Act, the Board's rules and regulations and all state and federal laws relating to Kansas optometrists;
- 8. Respondent agrees that all information in the possession of the Board or its attorney regarding the complaint which led to this disciplinary action, the investigation of the complaint and all information discovered during the pendency of the disciplinary action may be disclosed to and considered by the Board as part of the presentation and consideration of the proposal of settlement in the form of this Stipulation and Final Agency Order and the Final Order provided for herein, with or without the presence of the Respondent or his attorney. In the event that this Stipulation and Final Agency Order and the Final Order provided for herein are not accepted and approved by the Board, the Respondent further waives any objection to the Board members' consideration of this Stipulation and Final Agency Order or the

information mentioned in the preceding sentence and further agrees to waive any claim of due process violation or the right to seek the disqualification of any Board member as a result of the Board member's consideration of said document and information.

- 9. The stipulations contained herein shall not become binding until this Stipulation and Final Agency Action is approved by the Board and the Final Order provided for herein is entered by the Board. The Respondent acknowledges that the approval of the Board's attorney shall not constitute the approval of the Board or bind the Board to approve this Stipulation and Final Agency Action or the Final Order provided for herein.
- 10. The Respondent agrees that this Stipulation and Final Agency Order is in conformance with Kansas and federal law and the Board has jurisdiction to enter into it and enter the Final Order provided for herein. The Respondent further agrees that the Kansas Optometry Act, K.S.A. 65-1501 *et seq.* is constitutional on its face and as applied in this case.
- 11. This stipulation constitutes the entire agreement of the parties and may only be modified by a subsequent writing signed by them. The agreement shall be interpreted in accordance with the laws of the State of Kansas.
  - 12. The Respondent acknowledges that he has the following rights:
    - A. To have formal notice of charges served upon him;
    - B. To file a response to the charges;
- C. To have notice of and participate in a formal adjudicative hearing with the Board making specific findings of facts and conclusions of law based only upon evidence admitted at such hearing.

D. To take advantage of all applicable provisions of the Kansas Administrative Procedures Act and the Act for Judicial Review and Civil Enforcement of Agency Action.

The Respondent freely waives these rights and acknowledges that said waiver is made voluntarily and in consideration of the Board's limiting the disciplinary action taken against him to those provided for herein. The Respondent further waives the right to seek reconsideration or appeal or otherwise contest this Stipulation and Final Agency Order and the Final Order provided for herein.

- 13. The Respondent acknowledges that he enters into this Stipulation and Final Agency Order freely and voluntarily after consultation with counsel of his choosing. The Respondent further acknowledges that he has read this Stipulation and Final Agency order in its entirety, that he understands its legal consequences and that he agrees that none of its terms are unconscionable, arbitrary or capricious.
- 14. Time is of the essence to this Stipulation and Final Agency Order. Respondent acknowledged and agrees that any violation of this Stipulation and Final Agency Order shall constitute a willful violation of a lawful Board order and grounds for further disciplinary action against him. The pendency of any disciplinary action arising out of an alleged violation of this Stipulation and Final Agency Order shall not affect the obligation of Respondent to comply with all terms and conditions of this Stipulation and Final Agency Order.
- 15. This Stipulation and Final Agency Order constitutes the entire and final agreement of the parties. In the event any provision of this Stipulation and Final Agency Order is deemed invalid or unenforceable by a court of competent jurisdiction, it shall be severed and

the remaining provisions of this Stipulation and Final Agency Order shall be given full force and effect.

16. Upon execution by all parties, this Stipulation and Final Agency Order shall be a

public record in the custody of the Board.

17. This Stipulation and Final Agency Order shall become effective on the day it is

approved, accepted and made an order of the Board by way of signature of the Board's

authorized representative.

18. The Respondent acknowledges that he has been advised by the Board that he

would have the right within 15 days after service of the Final Order provided for herein to file a

petition for reconsideration with the Board and the right within 30 days after service of the

Final Order provided for herein to file a petition for judicial review in the District Court of

Shawnee County, Kansas in accordance with the Kansas Act for Judicial Review and Civil

Enforcement of Agency Actions, K.S.A. 77-601 et seq. and to serve such a petition for judicial

review on the Kansas Board of Examiners In Optometry by serving Sharon Green, Secretary.

The Respondent hereby waives those rights.

AGREED AND ACCEPTED BY:

ROGER N JOYCE O.D.

3-24-00

Date

### APPROVED BY:

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|---------|---------|----------|---------|-----------|
| Respond | ent's A | Attorney | 's Name | & Address |

Randall J. Forbes,

FRIEDEN, HAYNES & FORBES

555 S. Kansas Avenue, Suite 303

Topeka, KS 66603

(785) 232-7266

Date

Date

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| ROGER N. JOYCE, O.D.      |   |                   |
| Kansas License No. 1281-3 | ) | File No. 1999-001 |

### FINAL ORDER

Upon motion duly made, seconded and passed, the Kansas Board of Examiners In Optometry (the "Board") approves and accepts the within Stipulation and Final Agency Order and incorporates the provisions thereof as the Final Order of the Board.

ENTERED AND EFFECTIVE this 26th day of April , 2000.

KANSAS BOARD OF EXAMINERS IN OPTOMETRY

By:

Larry D. Stopple,

President

### CERTIFICATE OF SERVICE

Randall J. Forbes FRIEDEN, HAYNES & FORBES 555 S. Kansas Avenue, Suite 303 Topeka, KS 66603

Roger N. Joyce, O.D. 120 South Gordy El Dorado, Kansas 67042

Sharon Green, O.D., Secretary

Kansas Board of Examiners in Optometry